

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

SHEET 1 OF 2
(REV. 7-80)

LIST OF REFERENCES CITED BY APPLICANT

(Use Several Sheets if Necessary)

DOCKET NO.: 6920/OJ811
APPLICANT: IKEDA et al.

SERIAL NO: Not yet assigned
FILING DATE: Concurrently here with
CONFIRMATION NO:

U.S. PATENT DOCUMENTS

*EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
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FOREIGN PATENT DOCUMENTS

*EXAMINER INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
NOT CONSIDERED	✓ 1. 06-030046	02/04/94	JP	H04L	12,56	X	
	✓ 2. 11-066140	03/09/99	JP	G06F	17,50	X	X
	✓ 3. 11-196113	07/21/99	JP	H04L	12/28	X	
	✓ 4. 06-507289	08/11/94	JP	H04L	12/20	X	
NOT CONSIDERED	✓ 5. 02-220531	09/03/90	JP	H04L	12/48	X	X
	✓ 6. 02-284543	11/21/90	JP	H04L	12/56	X	X
	✓ 7. 04-023655	01/28/92	JP	H04M	3/42	X	X
	✓ 8. 04-186943	07/03/92	JP	H04L	12/40	X	X
	✓ 9. 07-143177	06/02/95	JP	H04L	12/56	X	X
	✓ 10. 07-297912	11/10/95	JP	H04M	3/36	X	X
	✓ 11. 08-022427	01/23/96	JP	G06F	13/00	X	
	✓ 12. 08-079258	03/22/96	JP	H04L	12/28	X	
NOT CONSIDERED	✓ 13. 09-055762	02/25/97	JP	H04L	12/46	X	
	✓ 14. 10-190671	07/21/98	JP	H04L	12/28	X	
	✓ 15. 10-124434	05/15/98	JP	G06F	13/00	X	
	✓ 16. 10-098525	04/14/98	JP	H04M	3/00	X	
	✓ 17. 10-322393	12/04/98	JP	H04L	12/56	X	
	✓ 18. 11-017715	01/22/99	JP	H04L	12/40	X	
	✓ 19. 11-096135	04/09/99	JP	G06F	17/00	X	
	✓ 20. 11-168428	06/22/99	JP	H04B	7/26	X	
	✓ 21. 63-082141	04/12/88	JP	H04L	11/20	X	
	✓ 22. 07-087102	03/31/95	JP	H04L	12/28	X	
	✓ 23. 2865675	12/18/98	JP	H04L	12/10	X	

OTHER REFERENCES

(INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)

*EXAMINER
INITIALS

09/936081

518 Rec'd PCT/PTO 7 SEP 2001

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U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

SHEET 2 OF 2
(REV. 7-80)

LIST OF REFERENCES CITED BY APPLICANT

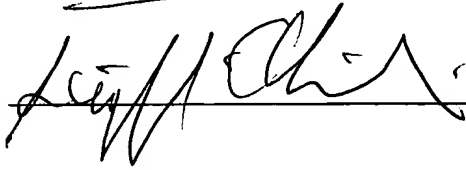
(Use Several Sheets if Necessary)

DOCKET NO.: 6920/OJ811
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INITIALS

EXAMINER:

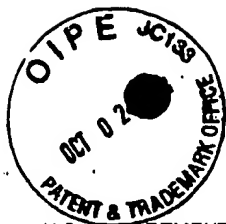


DATE CONSIDERED:

9/25/06

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Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

SHEET 1 OF 1
(REV. 7-80)**LIST OF REFERENCES CITED BY APPLICANT**

(Use Several Sheets if Necessary)

DOCKET NO.: 6920/OJ811 SERIAL NO: 09/936,081
APPLICANT: Shigeru IKEDA et al. FILING DATE: September 7, 2001
CONFIRMATION NO:

U.S. PATENT DOCUMENTS

<u>*EXAMINER</u> <u>INITIALS</u>	<u>DOCUMENT</u> <u>NUMBER</u>	<u>DATE</u>	<u>NAME</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>FILING DATE</u>
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FOREIGN PATENT DOCUMENTS

<u>*EXAMINER</u> <u>INITIALS</u>	<u>DOCUMENT</u> <u>NUMBER</u>	<u>DATE</u>	<u>COUNTRY</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>TRANSLATION</u> <u>YES</u> <u>NO</u>
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M 1. 11-299100 10/29/99 JP

X

Abstract only

OTHER REFERENCES**(INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)**

*EXAMINER
INITIALS

X 2. Denki Gakkaishi, Vol. 117, No.6, May 20, 1997 pp. 345-348

lack of translation

EXAMINER:

[Signature]

DATE CONSIDERED:

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PTO/SB/08a/b (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known		
			Application Number	09/936,081-Conf. #7930	
			Filing Date	September 7, 2001	
			First Named Inventor	Shigeru Ikeda	
			Art Unit	N/A	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	06920/000J811-USO

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-OD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
<i>MM</i>	AA	US-6,055,504-A	04-25-2000	Chou et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-OD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
<i>MM</i>	BA	WO-98/29992-A1	07-09-1998	Northern Telecom Limited		
<i>MM</i>	BB	WO-00/36815-A1	06-22-2000	ANIP, INC.		

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	<i>[Signature]</i>	Date Considered	9/25/06
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